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Secretary:	Darci Sakamoto	Direct Phone:	408-414-1080x211
Client/Matter/Tkpr:	60054-0016/10/796,932	Date:	11/22/2006
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Number of pages including this page: 4

**TO:**

Name	Company	Facsimile No.	Contact No.
Dennis Vautrot	USPTO	571/273-8300	571/272-2184

**MESSAGE:** Application No. - 10/796,932

Enclosed are the following:

- #1 This Facsimile Cover Sheet (1 page)
- #2 Copies of three Form PTO-1449 that were filed August 24, 2004; July 22, 2005; and August 25, 2006.

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**FORMATION DISCLOSURE CITATION  
IN AN APPLICATION  
(PTO-1449)**ATTY. DOCKET NO.  
60054-0016SERIAL NO.  
10/796,932APPLICANT:  
Akio Sakamoto et al.FILING DATE:  
March 9, 2004GROUP:  
2171**U.S. PATENT DOCUMENTS**

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			

**FOREIGN PATENT DOCUMENTS**

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				

**OTHER ART - NO PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		International Preliminary Examining Authority, "Notification of Transmittal of the International Preliminary Examination Report," July 20, 2004, 13 pages.	
		Current claims from PCT application, International Application No. PCT/US03/05673, 7 pages.	

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)

ATTY. DOCKET NO.  
60054-0016

SERIAL NO.  
10/796,932

APPLICANT:  
Akio Sakamoto et al.

FILING DATE:  
March 9, 2004

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> (If known)				
		EP	0999490	A2	Fujitsu Limited	05-10-2000		
		EP	1315065	A1	Protegrity Research & Development	05-28-2003		

## OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		INTERNATIONAL SEARCHING AUTHORITY, "notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration," PCT/US2005/004934, dated May 13, 2005, 12 pages.	
		Current Claims, PCT/US2005/004934, 8 pages.	
		DENNING, DOROTHY E., "An Intrusion-Detection model," IEEE Transactions on Software Engineering, IEEE Inc., Vol. SE-13, no. 2, February 1, 1987, XP00039382, pp. 222-232	
		LUNT, TERESA F. et al., "Knowledge-Based Intrusion Detection," Proceedings of the annual AI Systems in Government Conference, Washington, D.C. March 27-31, 1989, IEEE, XP10015634, pp. 102-107.	

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<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)</b>				<b>ATTY. DOCKET NO.</b> 60054-0016		<b>SERIAL NO.</b> 10/796,932	
				<b>APPLICANT:</b> Akio Sakamoto et al.			
				<b>FILING DATE:</b> March 9, 2004		<b>GROUP:</b> 2171	
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		INTERANTIONAL PRELIMINARY EXAMINING AUTHORITY, "Notification of Transmittal of the International Preliminary Report n Patentability," PCT/US2005/004934, dated May 5, 2006, 8 pages.	
		AMENDED CLAIMS, PCT/US2005/004934, 9 pages (attached).	

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